

Website Disclosure for an Article 8 Fund

InvestInvent Funds SICAV-SIF – InvestInvent Wind Energy Fund

This document provides you with information about this Fund in relation to the Sustainable Finance Disclosure Regulation.

(a) Summary

The Sub-fund promotes environmental characteristics according to article 8 of the SFDR but does not have sustainable investment as its objective. The characteristics promoted by the Sub-fund consist of taking part of the energy transition by investing predominantly directly or indirectly in private equity participations of ecologically valuable projects that offer attractive long-term investment returns primarily in areas such as, predominantly, wind and solar energy related and on an ancillary basis, other infrastructure related projects.

(b) No sustainable investment objective

Does this financial product have a sustainable investment objective?

This financial product promotes environmental or social characteristics, but does not have as its objective sustainable investment.

(c) Environmental or social characteristics of the financial product

What environmental and/or social characteristics are promoted by this financial product?

The characteristics promoted by the Sub-fund consist of taking part of the energy transition by investing predominantly directly or indirectly in private equity participations of ecologically valuable projects that offer attractive long-term investment returns primarily in areas such as, predominantly, wind and solar energy related and on an ancillary basis, other infrastructure related projects.

(d) Investment strategy

What investment strategy does this financial product follow?

The Sub-fund will target to invest 93% of its assets directly or indirectly in private equity participations of ecologically valuable projects that offer attractive long-term investment returns primarily in areas such as, wind and solar energy related and on an ancillary basis, other infrastructure related projects.

What is the policy to assess good governance practices of the investee companies?

Fund has appointed InvestInvent AG as their portfolio manager, also being the manager of all single SPVs and like that, guaranteeing good governance practices such as appropriate handling of risks, functional corporate governance, management decisions are geared towards long-term value creation, transparency in corporate communications, safeguarding the interests of different groups, purposeful cooperation of corporate management and supervision.

(e) Proportion of investments

What is the asset allocation planned for this financial product?

The Sub-fund plans to invest a minimum of 93% of its assets in securities aligned with the environmental characteristics promoted by the Sub-fund. The goal is to invest close to 100% of the company's free cash in such projects.

The remaining (<7%), will be cash, cash equivalents or derivatives and will not be aligned with the E/S characteristics promoted.

(f) Monitoring of environmental or social characteristics

How are the environmental characteristics and the sustainability indicators monitored throughout the lifecycle of the financial product?

The environmental characteristics and the sustainability indicators are continuously monitored through quarterly reports on material ESG themes.

(g) Methodologies

What are the methodologies to measure how the social or environmental characteristics promoted by the financial product are met?

We have defined sustainability indicators to measure the attainment of the environmental or social characteristics. The sustainability indicators used are:

1. The Sub-fund total rated capacity in megawatt;
2. Reduction of carbon footprint of the Sub-fund calculated by means of the carbon dioxide (CO₂) calculator provided on the website of Bundesverband WindEnergie;
3. Number of people to whom the Sub-fund provides clean energy.

(h) Data sources and processing

What are the data sources used to attain each of the environmental or social characteristics?

Internal Data and data source as BWE Bundesverband WindEnergie are used to attain environmental characteristics.

(i) Limitations to methodologies and data

While the sustainability indicators of the Sub-fund are measurable, the good corporate governance are more difficult to monitor and evaluate.

(j) Due diligence

InvestInvent AG internal portfolio management team conducts due diligence to ensure that investment decisions are made in line with the portfolio's investment strategy as described in (d).

(k) Engagement policies

InvestInvent AG engages in

1. exchanging information (dialogue with management and boards of investee committee, conversations between investors and us)
2. producing and diffusing knowledge (developing knowledge of ESG issues, gathering feedback)
3. deriving political benefits (elevating sustainability and securing resources, enhancing the loyalty of long-term investors, enrolling internal experts)

to create value of the business.

As a PRI signatory, InvestInvent AG takes their stewardship responsibility in accordance with the Principles for Responsible Investment (PRI) Principle 2 ("We will be active owners and incorporate ESG issues into our ownership policies and practices").

(l) Designated reference benchmark

Has an index been designated as a reference benchmark to attain the environmental or social characteristics promoted by the financial product?

No reference benchmark has been designated for the purpose of attaining the environmental or social characteristics promoted by the Sub-fund.